



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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in the Patent Application of: **Delurgio et al.**Serial No. : **09/741,957**

Art Unit: Unknown

Filed: **December 20, 2000**

Examiner: Unknown

For: **FINANCIAL MODEL ENGINE**

Box: Non-Fee Amendment
Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

March 9, 2001

Dear Sirs:

Certificate of Mail by Express Mail
under 37 CFR § 1.10

EXPRESS MAIL LABEL NO.: **EK797900879US**
Date of Deposit: March 9, 2001

I hereby certify that this paper, and the enclosed Information Disclosure Statement form -- list of documents and eighteen (18) cited documents, and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box: NON-FEE AMENDMENT, Assistant Commissioner for Patents, Washington, D.C. 20231.

A handwritten signature in black ink, appearing to read "Jim Kargel".

INFORMATION DISCLOSURE STATEMENT FILED

UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

1. "Report of Novelty Search" by Patentec, dated Feb 9, 2001.
2. U.S. Patent Number 6,094,641 to K. Ouimet et al. entitled "METHOD FOR INCORPORATING PSYCHOLOGICAL EFFECTS INTO DEMAND MODELS."



3. U.S. Patent Number 6,078,893 to K. Ouimet et al. entitled "METHOD FOR STABILIZED TUNING OF DEMAND MODELS."
4. U.S. Patent Number 5,987,425 to Hartman et al. entitled "VARIABLE MARGIN PRICING SYSTEM."
5. U.S. Patent Number 5,878,400 to Carter, III entitled "METHOD AND APPARATUS FOR PRICING PRODUCTS IN MULTI-LEVEL PRODUCT AND ORGANIZATIONAL GROUPS."
6. U.S. Patent Number 5,873,069 to Reuhl et al. entitled "SYSTEM AND METHOD FOR AUTOMATIC UPDATING AND DISPLAY OF RETAIL PRICES."
7. U.S. Patent Number 5,822,736 to Hartman et al. entitled "VARIABLE MARGIN PRICING SYSTEM."
8. U.S. Patent Number 5,377,095 to Maeda et al. entitled "MERCHANDISE ANALYSIS SYSTEM WITH SALES DATA TABLE AND VARIOUS FUNCTIONS FOR PREDICTING THE SALE BY ITEM."
9. U.S. Patent Number 5,117,354 to Long et al. entitled "AUTOMATED SYSTEM FOR PRICING AND ORDERING CUSTOM MANUFACTURED PARTS."
10. U.S. Patent Number 6,032,125 to Ando et al. entitled "DEMAND FORECASTING METHOD, DEMAND FORECASTING SYSTEM, AND RECORDING MEDIUM."



11. U.S. Patent Number 6,009,407 to Garg entitled "INTEGRATED MARKETING AND OPERATIONS DECISIONS-MAKING UNDER MULTI-BRAND COMPETITION."
12. U.S. Patent Number 5,712,985 to Lee et al. entitled "SYSTEM AND METHOD FOR ESTIMATING BUSINESS DEMAND BASED ON BUSINESS INFLUENCES."
13. U.S. Patent Number 6,125,355 to Bekaert et al. entitled "PRICING MODULE FOR FINANCIAL ADVISORY SYSTEM."
14. U.S. Patent Number 6,032,123 to Jameson entitled "METHOD AND APPARATUS FOR ALLOCATING, COSTING, AND PRICING ORGANIZATIONAL RESOURCES."
15. U.S. Patent Number 6,029,139 to Cunningham et al. entitled "METHOD AND APPARATUS FOR OPTIMIZING PROMOTIONAL SALE OF PRODUCTS BASED UPON HISTORICAL DATA."
16. U.S. Patent Number 5,918,209 to Campbell et al. entitled "METHOD AND SYSTEM FOR DETERMINING MARGINAL VALUES FOR USE IN A REVENUE MANAGEMENT SYSTEM."
17. U.S. Patent Number 5,249,120 to Foley entitled "AUTOMATED MANUFACTURING COSTING SYSTEM AND METHOD."
18. U.S. Patent Number 5,063, 506 to Brockwell et al. entitled "COST OPTIMIZATION SYSTEM FOR SUPPLYING PARTS."



Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This paper is submitted within three months of the filing date of the referenced patent application in accordance with 37 C.F.R. § 1.97(b); therefore, no fee is believed to be required.

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198.

Respectfully submitted,



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| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF DOCUMENTS CITED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56 | | ATTY. DOCKET NO. DEM1P004 | SERIAL NO. 09/741,957 |
| | | APPLICANT Delurgio et al. | |
| | | FILING DATE 12/20/00 | GROUP Unknown |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL* | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|----|-----------------|----------|----------------|-------|----------|----------------------------|
| | AA | 6,094,641 | 07/25/00 | Ouimet et al. | 705 | 10 | |
| | AB | 6,078,893 | 06/20/00 | Ouimet et al. | 705 | 10 | |
| | AC | 5,987,425 | 11/16/99 | Hartman et al. | 705 | 20 | |
| | AD | 5,878,400 | 03/02/99 | Carter, III | 705 | 20 | |
| | AE | 5,873,069 | 02/16/99 | Reuhl et al. | 705 | 20 | |
| | AF | 5,822,736 | 10/13/98 | Hartman et al. | 705 | 1 | |
| | AG | 5,377,095 | 12/27/94 | Maeda et al. | 364 | 401 | |
| | AH | 5,117,354 | 05/26/92 | Long et al. | 364 | 401 | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| AI | | "Report of Novelty Search" by Patentec, dated Feb, 9, 2001 | | | | | |
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|-------------------|----|-----------------|----------|-------------------|-------|----------|----------------------------|
| | AJ | 6,032,125 | 02/29/00 | Ando | 705 | 10 | |
| | AK | 6,009,407 | 12/28/99 | Garg | 705 | 10 | |
| | AL | 5,712,985 | 01/27/98 | Lee et al. | 395 | 207 | |
| | AM | 6,125,355 | 09/26/00 | Bekaert et al. | 705 | 36 | |
| | AN | 6,032,123 | 02/29/00 | Jameson | 705 | 8 | |
| | AO | 6,029,139 | 02/22/00 | Cunningham et al. | 705 | 10 | |
| | AP | 5,918,209 | 06/29/99 | Campbell et al. | 705 | 5 | |
| | AQ | 5,249,120 | 09/28/93 | Foley | 364 | 401 | |

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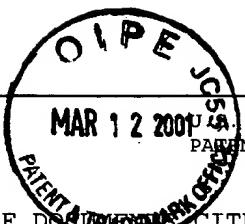
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